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Date Filed: 1/20/2011 Elaine F. Marshall NC Secretary of State Y201102100194

JAN 20 2011



JAN 21 2011

Elaine F. Marshall, Secretary of State

Lobbying Compliance Division

Lobbying Compliance Division Elaine F. Marshall, Secretary of State 2010 4th Quarter Principal Expense Report – ZERO EXPENSE SHORT FORM

If you have reportable expenditures, use Form PR-ER long form.

Street Address:

Mailing Address: Lobbying Compliance Division

Department of the Secretary of State

P. O. Box 29622

Raleigh, NC 27626-0622 2 South Salisbury Street

Raleigh, NC 27601-2903

☐ Amended Report (Check if amending previously filed report.)

Phone: (919) 807-2170 (919) 807-2205 Fax:

E-Mail: lobbyistfiling@sosnc.com

www.secretary.state.nc.us/lobbyist:/lobforms.aspx Web: (See "Download Forms" for Affidavit of Exectronic Filing Without Electronic Notarization, Form PR-AF)

Original Report Tracking #\_

## Name of Regis ered Lobbyist Principal: Kaplan Higher Education by MultiState Associates Inc.

If the registered lobbyist principal has directed any lobbyist listed below to lobby on behalf of an unregistered associated entity, the principal must complete and attach Schedule PR-QAE to this quarterly report. The absence of such attachment constitutes the authorized officer's affirmative statement under ooth that there are no such unregistered associated entities pursuant to G.S. §120C-403(b)(6) to disclose for the reporting period.

Name of Lobbyist(s) as Registered: _Leslie Coman, Paul Mahoney	and Doug Miskew
*Attach Annual Statement of Payments:	for Lobbying, Form PR-APL.
IMPORTANT INSTRUCTIONS FOR PRINCIPAL UNLESS CERTIFYING AN E-MAILED REPORT VIA AFFIDAVIT (SEE WEBSITE COMPLETED WITH PRINTED NAME OF AUTHORIZED OFFICER AND PRINT OFFICER MUST SIGN AND DATE REPORT TO CERTIFY REPORT IN THIS SECTION COUNTY WHERE NOTARIZED) AND JURAT MUST ALSO BE COMPLETED. RECORD WITH THE LOBBYING COMPLIANCE DIVISION FOR REPORTING CERTIFICATION OR NOTARIZATION MAY RESULT IN REJECTION OF REPORT.	FOR NEW SEPARATE FORM PR-AF), ALL BLANKS MUST BE ED NAME OF PRINCIPAL ENTITY, AND THE AUTHORIZED ON. FOR QUARTERLY REPORT UNDER OATH VENUE (STATE SIGNATORY AUTHORIZED OFFICER MUST BE A PERSON OF
STATE OF VIRGINIA	
COUNTY CITY OFALEXANDRIA	
PAUL W. HALLMAN_, as an authorized officer of Kaplan Higher (Must enter printed name of authorized officer) on behalf of the principal entity by its authority first duly given, or on duly sworn, hereby certifies that the principal has no expenditures services to report for this quarterly period and that all information true, complete and correct to the best of his/her knowledge and belief.  Signature of Principal or Authorized Officer	(Must enter printed name of principal entity) his/her own behalf as an individual princ pal, being first pursuant to G.S. § 120C-403 other than payment for
Sworn to (or affirmed), and subscribed before me,	
Mary was Scaur, 201].	
Signature of Notary Public # 280545	
Many Susan Brain	
Printed Name of Notary Public	
My commission expires: $63020$ .	(NOTARY STAMP OR SEAL)

### 

Form PR-EZ Rev Do not staple pag	12/13/10 Page 2 of 2 s; type, print in ink or file electronically; attach additional pages as necessary.
Printed Full N Signature of I	lame of Report Preparer: _N/A
THIS SECTION SHO	ULD NOT BE COMPLETED UNLESS THE PREPARER OF THE REPORT IS A PERSON OTHER THAN THE AUTH PRIZED ING REPORT WHO HAS EXERCISED INDEPENDENT JUDGMENT OR DISCRETION AS TO THE INFORMATION REPORTED. OFFICER MUST SIGN CERTIFICATION ABOVE.

Form PR-APL Rev. 01/20/11; do not staple pages; type, print in ink or file electronically; attach additional pages as necessary.

# Revised 2010 Lobbyist Principal's Annual Statement of Payments for Lobbying G.S. §120C-403

Attach to final quarterly report filed for registration period (period ended 12/31/10 unless earlier terminated).

#### \*\*\* ATTENTION\*\*\*

Pursuant to Session Law 2010-169, principals must report in the last expense report for the registration period the cumulative combined total of all payments for lobbying which include the following activities made during the entire registration period:

#### DIRECT LOBBYING • GOODWILL LOBBYING • RESEARCH • DRAFTING • MONITORING • ADVISING

<u>DIRECT LOBBY NG</u>: Influencing or attempting to influence legislative and/or executive action through direct communication or activities with a designated individual or that designated individual's immediate family. G.S. §120C-100(a)(9)(a).

GOODWILL LOBBYING: Creating goodwill with designated individuals through communications or activities, including the building of relationships, with a designated individual or that designated individual's immediate family with the intention of influencing current or future legislative and/or executive action. G.S. §120C-100(a)(9)(b).

RESEARCH: G.\$. §120C-403(e)(2)(a).

**DRAFTING:** Drafting written communications. G.S. §120C-403(e)(2)(b).

MONITORING: Monitoring proposed or pending legislative or executive action including time spent preparing communications with the lobbyist's principal to relate information on those actions. G.S. §120C-403(e)(2)(c).

ADVISING: Time spent advising and giving opinions to the lobbyist principal as to the construction and effect of proposed/pending legislative or executive action. G.S. §120C-403(e)(2)(d).

## Registered Lobbyist Principal: Kaplan Higher Education by MultiState Associates Inc.

Lo	obyist(s)	BOX 1 Check only if lobbyist was a full-time employee of the principal during registration period.	BOX 2 Check only if lobbyist was paid by annual fee during registration period.	BOX 3  If and only if Box 1 or Box 2  applies, check if lobbyist provided statement(s) estimating the portion of such annual salary, fee or retainer reasonably allocated for lobbying.	Cumulative Combined 2010 Payments for Services	
Lobbyist Name: Leslie Comar			x	X	\$2,500.00	
	t an employee of the o whom payment was				_	
Lobbyist Name:			x	X	\$12,416.67	
Paul Mahone	y					
	t an employee of the o whom payment was					
Lobbyist Name:						
Doug Miskew						
principal, indicate to made:	t an employee of the o whom payment was		x	x	\$0.00	
□ Lobbyist:						
Firm Name: Ca	pstrat					
	TOTAL ALL LOBBYISTS (Attach additional pages as needed.)					